# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012

### NOTIFICATION FORM FOR DIRECTOR/CHIEF EXECUTIVE OFFICER IN RESPECT OF INTERESTS IN SECURITIES

FORM

1
(Electronic Format)

#### **Explanatory Notes**

- 1. Please read the explanatory notes carefully before completing this notification form.
- 2. This form is for a Director/Chief Executive Officer ("CEO") to give notice of his interests in the securities of the Listed Issuer under section 133, 137N or 137Y of the Securities and Futures Act (Cap. 289) (the "SFA"). Please note that the requirement to disclose interests in participatory interests applies **only** to a director and where the Listed Issuer is a Singapore-incorporated company.
- 3. This electronic Form 1 and a separate Form C, containing the particulars and contact details of the Director/CEO, must be completed by the Director/CEO or a person duly authorised by him to do so. The person so authorised should maintain records of information furnished to him by the Director/CEO.
- 4. This form and Form C, are to be completed electronically and sent to the Listed Issuer via an electronic medium such as an e-mail attachment. The Listed Issuer will attach both forms to the prescribed SGXNet announcement template for dissemination as required under section 137G(1), 137R(1) or 137ZC(1) of the SFA, as the case may be. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority").
- 5. A single form may be used by a Director/CEO for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of/of becoming aware of, the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
- 6. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s) by clicking on the paper clip icon on the bottom left-hand corner or in item 3 of Part II or item 10 of Part III. The total file size for all attachment(s) should not exceed 1MB.
- 7. Except for item 4 of Part III, please select only one option from the relevant check boxes.
- 8. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
- 9. In this form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estaterelated assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").
- 10. For further instructions and guidance on how to complete this notification form, please refer to section 6 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability", "Regulations, Guidance and Licensing", "Securities, Futures and Fund Management", "Forms", "Disclosure of Interests").

Part I - General 1. Name of Listed Issuer: Thai Beverage Public Company Limited 2. Type of Listed Issuer: ✓ Company/Corporation Registered/Recognised Business Trust Real Estate Investment Trust 3. Name of Director/CEO: Mr. Ueychai Tantha-Obhas 4. Is the Director/CEO also a substantial shareholder/unitholder of the Listed Issuer? Yes ✓ No 5. Is the Director/CEO notifying in respect of his interests in securities of, or made available by, the Listed Issuer at the time of his appointment? Yes (Please proceed to complete Part II) (Please proceed to complete Part III) **√** No 6. Date of notification to Listed Issuer: 04-Feb-2020

## Part III - For an incumbent Director/CEO giving notice of an acquisition of, or a change in his interest in, securities of or made available by the Listed Issuer

| 31-            | Jan-2020   |
|----------------|--|
|                | ate on which Director/CEO became aware of the acquisition of, or change in, interest different from item 1 above, please specify the date):  |
| 31-            | Jan-2020   |
|                | planation (if the date of becoming aware is different from the date of acquisition of, or change interest):  |
| N.A            | <b>.</b>   |
| Ту             | pe of securities which are the subject of the transaction <i>(more than one option may be</i>  |
| ch             | osen):   |
| $ \mathbf{V} $ | Ordinary voting shares/units of Listed Issuer  |
| Ш              | Other types of shares/units (excluding ordinary voting shares/units) of Listed Issuer  |
| Ш              | Rights/Options/Warrants over shares/units of Listed Issuer   |
| Ш              | Debentures of Listed Issuer  |
| Ш              | Rights/Options over debentures of Listed Issuer  |
|                | Contracts over shares of the Listed Issuer which Director/CEO is a party to, or under which he is entitled to a benefit, being contracts under which any person has a right to call for or to make delivery of shares in the Listed Issuer |
|                | Participatory interests made available by Listed Issuer  |
| <b>✓</b>       | Others (please specify):   |
| Ne             | w contingent award of shares under ThaiBev Long Term Incentive Plan ("LTIP"). See item 12 below.   |
|                | umber of shares, units, rights, options, warrants, participatory interests and/or principanount/value of debentures or contracts acquired or disposed of by Director/CEO:  |
| Ne             | w contingent award of 112,000 shares   |
|                | nount of consideration paid or received by Director/CEO (excluding brokerage and stampaties):  |
| N.A            | <u> </u>   |

| С  | ircumstance giving rise to the interest or change in interest:                              |
|----|---|
| A  | cquisition of:  |
|    | Securities via market transaction   |
|    | Securities via off-market transaction (e.g. married deals)                                  |
|    | Securities via physical settlement of derivatives or other securities                       |
|    | Securities pursuant to rights issue   |
|    | Securities via a placement  |
|    | Securities following conversion/exercise of rights, options, warrants or other convertibles |
| D  | isposal of:   |
|    | Securities via market transaction   |
|    | Securities via off-market transaction (e.g. married deals)                                  |
| 0  | ther circumstances :  |
|    | Acceptance of employee share options/share awards   |
|    | Vesting of share awards   |
|    | Exercise of employee share options  |
|    | Acceptance of take-over offer for Listed Issuer   |
|    | Corporate action by Listed Issuer (please specify):   |
|    |   |
|    |   |
| ✓  | Others (please specify):  |
| Ne | ew contingent award of shares under the LTIP. See item 12 below.                            |
|    |   |
|    |   |
|    |   |
| Q  | uantum of interests in securities held by Director/CEO before and after the transaction.    |

8. Quantum of interests in securities held by Director/CEO before and after the transaction.

Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 1. Change in respect of ordinary voting shares/units of Listed Issuer

| Immediately before the transaction                            | Direct Interest | Deemed Interest | Total   |
|---|-----------------|-----------------|---------|
| No. of ordinary voting shares/units held:                     | 523,500         | 62,288          | 585,788 |
| As a percentage of total no. of ordinary voting shares/units: | 0.002           | 0               | 0.002   |
| Immediately after the transaction                             | Direct Interest | Deemed Interest | Total   |
| No. of ordinary voting shares/units held:                     | 523,500         | 174,288         | 697,788 |

| voting shares/units:   | 0.002  | 0.001  | 0.003   |
|--|--|--|---|
| able 8. Others   |  |  |   |
| Immediately before the transaction   | Direct Interest  | Deemed Interest  | Total   |
| Details of securities held:  | 0  | 0  | 0   |
| I.A.   |  |  |   |
|  |  |  |   |
| Immediately after the transaction  | Direct Interest  | Deemed Interest  | Total   |
| Details of securities held:  | 174,288  | 0  | 174,288   |
| ontingent award of shares under the LTIP   |  |  |   |
|  |  |  |   |
| et out in item 8 tables 1 to 8, arises]<br>ave a deemed interest in 174,288 shares, on<br>17 Final Award, (b) the remaining 34,200 s   | comprising (a) the rem<br>shares that are compri   | aining 28,088 shares th<br>sed in my 2017/2018 Fi  | nat are comprised in my<br>inal Award (as defined                             |
| et out in item 8 tables 1 to 8, arises] have a deemed interest in 174,288 shares, on the standard of the stand | comprising (a) the rem<br>shares that are compri   | aining 28,088 shares th<br>sed in my 2017/2018 Fi  | nat are comprised in my<br>inal Award (as defined                             |
| et out in item 8 tables 1 to 8, arises] have a deemed interest in 174,288 shares, on 17 Final Award, (b) the remaining 34,200 selow), and (c) 112,000 shares that are complete.  | comprising (a) the rem<br>shares that are compri   | aining 28,088 shares th<br>sed in my 2017/2018 Fi  | nat are comprised in my<br>inal Award (as defined                             |
| ave a deemed interest in 174,288 shares, of 17 Final Award, (b) the remaining 34,200 show), and (c) 112,000 shares that are comp   | comprising (a) the rem<br>shares that are compri<br>orised in my 2019/2020   | aining 28,088 shares th<br>sed in my 2017/2018 Fi<br>O Initial Award (as defin   | nat are comprised in my<br>inal Award (as defined                             |
| et out in item 8 tables 1 to 8, arises]  ave a deemed interest in 174,288 shares, of 17 Final Award, (b) the remaining 34,200 selow), and (c) 112,000 shares that are competitachments (if any):  (The total file size for all attachment(s)) this is a replacement of an earlier response.  | comprising (a) the rem<br>shares that are compri<br>prised in my 2019/2020<br>should not exceed 1ME<br>notification, please                        | aining 28,088 shares th<br>sed in my 2017/2018 Fi<br>D Initial Award (as defin<br>3.)                                      | nat are comprised in my<br>inal Award (as defined<br>ned below). See Item 12. |
| et out in item 8 tables 1 to 8, arises]  ave a deemed interest in 174,288 shares, of 17 Final Award, (b) the remaining 34,200 selow), and (c) 112,000 shares that are complete tachments (if any):  (The total file size for all attachment(s) this is a replacement of an earlier response.   | comprising (a) the rem<br>shares that are compri<br>prised in my 2019/2020<br>should not exceed 1ME<br>notification, please                        | aining 28,088 shares th<br>sed in my 2017/2018 Fi<br>D Initial Award (as defin<br>3.)                                      | nat are comprised in my<br>inal Award (as defined<br>ned below). See Item 12. |
| et out in item 8 tables 1 to 8, arises]  ave a deemed interest in 174,288 shares, of 17 Final Award, (b) the remaining 34,200 selow), and (c) 112,000 shares that are composite tachments (if any):  (The total file size for all attachment(s) this is a replacement of an earlier results)  SGXNet announcement reference  | comprising (a) the rem<br>shares that are compri<br>prised in my 2019/2020<br>should not exceed 1ME<br>notification, please                        | aining 28,088 shares th<br>sed in my 2017/2018 Fi<br>D Initial Award (as defin<br>3.)                                      | nat are comprised in my<br>inal Award (as defined<br>ned below). See Item 12. |
| at out in item 8 tables 1 to 8, arises]  have a deemed interest in 174,288 shares, on the share and the share and the share are completed as a share and the share are completed as a share and the share are completed as a share are completed as a share are completed as a share and the share are completed as a share are completed as a share and the share are completed as a share are completed as a share and the share are completed as a share  | comprising (a) the remshares that are comprising or seed in my 2019/2020 should not exceed 1ME notification, please ace of the first notification. | aining 28,088 shares th<br>sed in my 2017/2018 Fi<br>D Initial Award (as defin<br>3.)                                      | nat are comprised in my<br>inal Award (as defined<br>ned below). See Item 12. |
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| and the stables 1 to 8, arises]  and a deemed interest in 174,288 shares, on the share a deemed interest in 174,288 shares, on the share a deemed interest in 174,288 shares, on the share and shares that are complete that are com | comprising (a) the remshares that are comprising or should not exceed 1ME notification, please ace of the first notification.                      | aining 28,088 shares the<br>sed in my 2017/2018 Fi<br>D Initial Award (as define<br>3.)<br>provide:<br>ication which was a | nat are comprised in my inal Award (as defined ned below). See Item 12.       |
| this is a <b>replacement</b> of an earlier replacement of an earlier replacement reference (the "Initial Announcement"):  Date of the Initial Announcement  15-digit transaction reference replacement   | comprising (a) the remshares that are comprising or should not exceed 1ME notification, please ace of the first notification.                      | aining 28,088 shares the<br>sed in my 2017/2018 Fi<br>D Initial Award (as define<br>3.)<br>provide:<br>ication which was a | nat are comprised in my inal Award (as defined ned below). See Item 12.       |

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| Transaction Reference Number (auto-generated): |  |
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| Date of acquisition of or change in interest:  |
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| 03-Feb-2020  |
| Date on which Director/CEO became aware of the acquisition of, or change in, interest (if different from item 1 above, please specify the date):   |
| 03-Feb-2020  |
| Explanation (if the date of becoming aware is different from the date of acquisition of, or change in, interest):  |
| N.A.   |
| Type of securities which are the subject of the transaction (more than one option may be chosen):  |
| ✓ Ordinary voting shares/units of Listed Issuer  |
| Other types of shares/units (excluding ordinary voting shares/units) of Listed Issuer  |
| Rights/Options/Warrants over shares/units of Listed Issuer   |
| Debentures of Listed Issuer  |
| Rights/Options over debentures of Listed Issuer  |
| Contracts over shares of the Listed Issuer which Director/CEO is a party to, or under which he is entitled to a benefit, being contracts under which any person has a right to call for or to make delivery of shares in the Listed Issuer |
| Participatory interests made available by Listed Issuer  |
| ✓ Others (please specify):   |
| Issuance of shares pursuant to vesting of final award of shares under ThaiBev Long Term Incentive Plan ("LTIP"). See item 12 below.  |
| Number of shares, units, rights, options, warrants, participatory interests and/or principal amount/value of debentures or contracts acquired or disposed of by Director/CEO:  |
| Issuance of 45,188 shares  |
| Amount of consideration paid or received by Director/CEO (excluding brokerage and stamp duties):   |
| dulles).   |

|      | Securities via market transaction   |
|------|---|
|      | Securities via off-market transaction (e.g. married deals)                                      |
|      | Securities via physical settlement of derivatives or other securities                           |
|      | Securities pursuant to rights issue   |
|      | Securities via a placement  |
|      | Securities following conversion/exercise of rights, options, warrants or other convertibles     |
| Dis  | sposal of:  |
|      | Securities via market transaction   |
|      | Securities via off-market transaction (e.g. married deals)                                      |
| Otl  | her circumstances :   |
|      | Acceptance of employee share options/share awards   |
|      | Vesting of share awards   |
|      | Exercise of employee share options  |
|      | Acceptance of take-over offer for Listed Issuer   |
|      | Corporate action by Listed Issuer (please specify):   |
|      |   |
|      |   |
|      |   |
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| ✓    | Others (please specify):  |
| Issu | uance of shares pursuant to vesting of final award of shares under the LTIP. See item 12 below. |
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8. Quantum of interests in securities held by Director/CEO before and after the transaction. Please complete relevant table(s) below (for example, Table 1 should be completed if the change relates to ordinary voting shares of the Listed Issuer; Table 4 should be completed if the change relates to debentures):

Table 1. Change in respect of ordinary voting shares/units of Listed Issuer

| Immediately before the transaction                            | Direct Interest | Deemed Interest | Total   |
|---|-----------------|-----------------|---------|
| No. of ordinary voting shares/units held:                     | 523,500         | 174,288         | 697,788 |
| As a percentage of total no. of ordinary voting shares/units: | 0.002           | 0.001           | 0.003   |
| Immediately after the transaction                             | Direct Interest | Deemed Interest | Total   |
| No. of ordinary voting shares/units held:                     | 568,688         | 129,100         | 697,788 |
| As a percentage of total no. of ordinary voting shares/units: | 0.002           | 0.001           | 0.003   |

#### Table 8. Others

| Immediately before the transaction   | Direct Interest | Deemed Interest | Total             |
|--|-----------------|-----------------|-------------------|
| Details of securities held:  | 0               | 0               | 0                 |
| N.A.   |                 |                 |                   |
| Immediately after the transaction  | Direct Interest | Deemed Interest | Total             |
| Details of securities held:  | 129,100         | 0               | 129,100           |
| Contingent award of shares under the LTIP  |                 |                 |                   |
| Circumstances giving rise to deemed in<br>[You may attach a chart(s) in item 10 to<br>set out in item 8 tables 1 to 8, arises]       |                 | ·               | emed interest, as |
| I have a deemed interest in 129,100 shares, cor<br>2017/2018 Final Award (as defined below), and<br>(as defined below). See Item 12. |                 |                 |                   |

| 10. | Attachments | (if any): | ( |
|-----|-------------|-----------|---|



9.

(The total file size for all attachment(s) should not exceed 1MB.)

- 11. If this is a **replacement** of an earlier notification, please provide:
  - (a) SGXNet announcement reference of the <u>first</u> notification which was announced on SGXNet (the "Initial Announcement"):

(b) Date of the Initial Announcement:

(c) 15-digit transaction reference number of the relevant transaction in the Form 1 which was attached in the Initial Announcement:

12. Remarks (if any):

See Annex A.

Transaction Reference Number (auto-generated):

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|     | s to be completed by an individual submitting this notification form on behalf of the Director/CEO. |
|     | iculars of Individual submitting this notification form to the Listed Issuer:                       |
| (a) | Name of Individual:   |
|     | Mr. Ueychai Tantha-Obhas  |
| (b) | Designation (if applicable):  |
|     | Director  |
| (c) | Name of entity (if applicable):   |
|     | Thai Beverage Public Company Limited  |
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